

AcreTrader Financial LLC Business Continuity Plan Disclosure

AcreTrader Financial, LLC (“ATF”) has developed a Business Continuity Plan that addresses how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will maintain flexibility in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan (“BCP”).

Contacting Us – If after a significant business disruption (“SBD”) you cannot contact us as you usually do by contacting your representative or contacting our main office at 888-958-1470, you should call our alternative number at 479-335-5660 or go to our website at www.acretrader.com.

Our Business Continuity Plan – We plan to quickly recover and resume business operations following an SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our BCP is designed to permit ATF to resume operations as quickly as possible, given the scope and severity of the SBD.

Our BCP addresses - data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternative physical location of employees; critical supplier, contractor, bank and counterparty impact; regulatory reporting; epidemics and pandemics and customers’ access to their funds and securities.

Varying Disruptions – SBDs can vary in their geographic scope, such as impacting only ATF’s office, a single building housing ATF, the business district where ATF is located, the city where ATF is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. If a disruptive event only affects ATF’s office or a building housing ATF, we will transfer our operations to our disaster recovery site when needed and expect to remain there until the SBD has ended. In the event of an SBD, we plan to continue to operate our business and will assess which means of communication are still available to us, and use the means closest in speed and form (written or oral) to the means that we have used in the past to communicate with you regarding the SBD.